



4 Day – Virtual Certificate Course on NBFC Regulations

A 360° View of the Regulatory Landscape

 Sept 09 – Sept 12, 2025
Tuesday to Friday
 3:00 PM to 6:00 PM (IST)

WHY ATTEND?

In an era of rapidly evolving financial regulations, staying ahead is not just important – it's essential. This 4-day intensive certificate course is designed for NBFC professionals looking to stay current, compliant, and confident in a changing regulatory landscape.

SPEAKERS



ANITA BAID
Senior Vice President



QASIM SAIF
Vice President



Whether you are a compliance professional, legal advisor, or senior management, this course equips you with the insights and tools needed to operate confidently in a tightly regulated NBFC environment.

CONTACT US:

For Registration, click [here](#)

For more information, reach out to:

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COURSE OUTLINE

Day 1: Basics and Introduction to the SBR Framework

- Overview of the NBFC Sector in India
- Regulatory Framework:
 - RBI Act, 1934 (Chapter IIIB)
 - Activity-based regulation and scale-based regulatory framework
- Overview of the SBR Framework:
 - Introduction to the Scale-Based Regulatory (SBR) Framework
 - Classification of NBFCs
- Fund raising and Deposit Regulations

Day 2: Prudential Regulations and Regulatory Limits

- Prudential Regulations
 - IRACP requirements
 - Restructuring, compromise and settlements, write offs
- Regulatory Limits and Restrictions
 - Limits on concentration
 - Dividend declaration restrictions
 - Sensitive sector exposure
- Capital Regulations
- ICAAP
- Liquidity Risk Management
- Interest Rate Model

Day 3: Fair Practices Code (FPC) and KYC/AML Guidelines

- Fair Lending Practices and Related Aspects:
 - Fair lending practices during the loan journey
 - Customer interactions and communication
 - Penal charges
- Grievance Redressal Mechanism
- Digital Lending and Default Loss Guarantee (DLG) Model
- Wilful Defaulter and Fraudulent Borrowers
- Overview of KYC & AML Guidelines
 - Customer Identification and Due Diligence
 - Modes of onboarding
 - Risk Classification and Monitoring
 - AML Risk Assessment

Day 4: Corporate Governance

- Corporate Governance Norms and Best Practices
 - Committees and Policies
 - Experience of Board
 - Regulatory restrictions on loans to directors, senior officers, etc.
 - Compensation Guidelines
- Compliance Function and CCO Appointment
- Statutory Auditor Appointment
- Change in Control and Management

PACKAGES

Course Access
(Live Sessions)

**₹15,000 plus
taxes**



Course + “Law
and Practice of
NBFCs” Book

**₹17,500 plus
taxes**



Course + Book
+ 30 Days
Recording Access

**₹22,500 plus
taxes**

