

Online workshop on Insider Trading Framework for Mutual Funds and other Pooled Investment Vehicles

By Vinod Kothari & Company



21st August, 2024,
5:00 to 6.30 PM



For registration, click [here](#)



For more information:
Lavanya Tandon | 9868486242
lavanya@vinodkothari.com
Palak Jaiswani | 9672400044
palak@vinodkothari.com



Mode: Through Zoom



INR 3000 plus applicable
taxes, per participant

Why this Workshop?

SEBI has notified SEBI (Prohibition of Insider Trading) (Amendment) Regulations, 2022 on November 24, 2022 to insert [a new chapter II A](#), thereby bringing in Mutual Funds units within the ambit of insider trading regulations.

SEBI *vide* [notification](#) prescribed November 1, 2024 as the effective date for this amendment. It is expected to increase the compliance burden of the AMCs. There may be several queries on the implementation front.

With this amendment, the Board, Audit Committee, MD & CEO of the AMCs have greater roles and responsibilities. An entire framework needs to be followed by AMCs with respect to communication, sharing, controls, internal reporting etc. The broad actionable includes:

1. **Framing of codes and policies;**
2. **Determining manner of bringing people inside;**
3. **Maintenance of Structured Digital Database;**
4. **Review of internal control systems**
5. **Additional disclosure requirements**

We intend to discuss the amendment threadbare and address the queries to enable AMCs ensure smooth transition.

Additionally, we also intend to discuss applicability of PIT Regulations to other forms of pooled investment vehicles.

Speaker



Mrs. Vinita Nair
Senior Partner

Our resources on the topic:

1. [Mutual Fund units now under the net of insider trading regulations](#)
2. [FAQs on Insider Trading Framework for Mutual Funds](#)
3. [FAQs on Structured Digital Database](#)
4. [PIT Resource Centre](#)

Reach us on social media

