

Workshop on Regulatory Framework for New-age NBFCs

4th August '23 (Friday) | Mumbai
Venue to be announced shortly

Faculty



Anita Baid

Vice President, VKCPL



Resources

Our articles on the subject :

<https://vinodkothari.com/sbr/>

<https://vinodkothari.com/digital-lending/>

Why this Workshop?

Considering the growth and increasing significance of the NBFC sector, several new players have been joining the spectrum. At the same time, the frequency and depth of RBI inspections, penal actions, have also increased. At this stage, it is necessary for the new-age NBFCs to build internal capabilities to align their regulatory framework and compliance systems to match up with systemic responsibilities and regulatory expectations.

NBFCs may be facing issues in implementing the SBR Framework and various other recent amendments introduced by the RBI; hence, we bring this workshop, which shall provide detailed guidance with respect to actionables and help resolving practical issues.

Reach us on social media



Course Outline

- ❖ Overview of RBI Regulatory Framework
- ❖ Categorisation of NBFCs under SBR
- ❖ Prudential norms under SBR
- ❖ Corporate Governance norms under SBR
 - Experience of Board, Directorship of KMPs
 - Restrictions on loans to directors, senior officers, relatives of directors, etc.
 - Compensation Guidelines
 - Compliance Function and CCO appointment
 - Disclosure Requirements
 - Core Banking Solutions
- ❖ Capital Regulations under SBR
 - Internal Capital Adequacy Assessment (ICAAP)
- ❖ Regulations on Microfinance Loans
- ❖ Basics of co-lending and loan sourcing
- ❖ Digital Lending Guidelines
 - Understanding digital lending
 - Understanding the concept of LSPs & DLAs
 - Major provisions and their implications
 - Customer protection & Conduct- KFS, APR, Cooling Period, GRO
 - Technology & Data Requirement
 - Flow of Funds
 - Guidelines on Default Loss Guarantee
- ❖ Recent amendment to KYC Directions, including AML norms

4th August (Friday)
9:30 a.m. to 5:00 p.m.

₹ **Rs.9,000** + tax
per participant

Registration Here: -

<https://forms.gle/C2DQCp5BrAGu9Nry5>

For more information, reach us at:

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