

Online Workshop on Emerging Regulatory Framework for NBFC-ML



23rd & 24th February, 2023 | Online [Zoom]


Why this Workshop?

NBFCs enjoy greater flexibility in terms of prudential requirements and other provisions. Higher risk appetite of NBFCs has contributed to their size, complexity and interconnectedness making the NBFC sector significant, posing potential threat to financial stability. Considering this, the RBI has introduced several amendments to the regulatory framework applicable to NBFCs, such as introduction of SBR framework, guidelines on digital lending, etc. It is necessary for NBFCs to build internal capabilities to align their regulatory framework with the ever-changing regulatory framework.

Hence, we bring this workshop, which shall provide guidance with respect to actionable and help in addressing the practical issues.

For more information, reach us at finserv@vinodkothari.com

 23rd & 24th February  **Rs. 6,000**
3:30 p.m. to 6:00 p.m. plus GST per participant

 Registration Link is provided above or mail on below IDs

For more information, reach us at:

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Course Outline

- ❖ Implementation of various compliances under the SBR Framework with timelines
- ❖ Categorisation of NBFCs under SBR
- ❖ Prudential norms under SBR
 - NOF requirements
 - Provisioning requirements
 - Limits on concentration,
 - Sensitive sector exposure
- ❖ Corporate Governance norms under SBR
 - Experience of Board
 - Regulatory restrictions on loans to directors, senior officers, relatives of directors, etc.
 - Directorship of KMPs
 - Provisions on Independent directors
 - Compensation Guidelines
 - Compliance Function and CCO appointment
 - Disclosure Requirements
 - Core Banking Solutions
 - Other Governance requirements
- ❖ Capital Regulations under SBR
 - Internal Capital Adequacy Assessment (ICAAP)
- ❖ Other Recent Amendments to the NBFC Regulatory Framework
 - Digital Lending Guidelines
 - Statutory Auditor Appointment
 - Amendments to IRACP Norms
 - Integrated and Internal Ombudsman Scheme
 - Dividend Declaration by NBFCs
 - Microfinance Loans

Faculty



Anita Baid
Vice President, VKCPL



Our resources on the topic:

1. [ICAAP](#)
2. [Compliance officer & Risk assessment](#)
3. [Corporate Governance and Compensation Guidelines under SBR](#)
4. [Digital Lending](#)
5. [Financial services](#)

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