

# Online Workshop on Structured Digital Database for Insider Trading:

Preparing for the Compliance Certificate

By Vinod Kothari & Company



9th November 2022, Wed  
4:30 p.m. to 6:30 p.m.



For registrations:

<https://forms.gle/JgWXqp2JJUBX4wPm8>



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Mode: Through Zoom



INR 2,000 plus GST per  
participant

## Why this workshop?

The BSE has, vide circular dated October 28, 2022, given the format for compliance certificate to be filed by either the Compliance Officer, or a PCS, certifying the compliance with structured digital database (SDD) requirement under the PIT Regulations, 2015. **The last date for Q2, 2022-23 is November 18, 2022.**

Earlier, concerns were created by the stock exchanges' mails in the month of August, whereby companies were required to affirm their adherence to SDD requirements. The certificate was filed for Q1 in the midst of lot of lack of clarity.

In the meantime, SEBI and the exchanges have released some FAQs. As also, the format for the certificate has been released.

However, who will ideally provide the Certificate? The compliance officer or a PCS? What are the rigours in each case? On what basis does the compliance officer certify that the systems captured all UPSI shared, internally and externally, in the SDD? What should be the internal control mechanism for creating and accessing the SDD? How does a compliance officer track back an UPSI from fruition to "germination"?

There are lots of queries that arise in context of SDD. We intend to deal with the SDD certification requirement, both by the compliance officer and by a PCS, at length in this interactive event.

## Faculty



**Vinod Kothari**  
Managing Partner  
Vinod Kothari &  
Company



**Vinita Nair**  
Senior Partner  
Vinod Kothari &  
Company

### Our resources on the topic:

1. [Resource centre on Prohibition on Insider Trading](#)
2. [Structured Digital Database: some emerging concerns](#)
3. [FAQs on Structured Digital Database](#)
4. [BSE simplifies compliance certificate format for SDD & allow PCS certification](#)

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