

WORKSHOP ON

Effective Regulatory interface: Preparing and handling RBI's NBFC inspections



Why this workshop?

A healthy and effective interface with the supervisor is a hallmark of well regulated financial intermediary. With growing realisation of the systemic significance of NBFCs, RBI's inspections have increased both in frequency, as also in scope and intensity. NBFCs may learn better handling of such inspections with stronger compliance systems, proper preparations, effectively addressing inspection queries, etc.

The intent of this unique workshop is to prepare NBFCs for better handling of regulatory inspections - right from policies, to healthy lending practices, to proper recognition of loan stresses, to adherence to securitisation, direct assignment and co-lending guidelines.

Speakers

Mr. Vinod Kumar Kothari, an internationally recognised author, trainer, and consultant on specialised financial subjects, viz housing finance, securitisation, credit derivatives, banking regulations etc. Mr. Kothari has more than 30 years of experience in NBFC and Banking Compliances.

Mr. Arjun Bikas Dutta, Retired General Manager of RBI, having expertise in regulatory compliance of banks, independent handling of internal and concurrent audit.

Mr. Jitendra Maheshwari, has over 22 years of experience in regulatory compliance, corporate governance, M&A activities, co-ordination with regulatory bodies like RBI, NHB and various government ministries.



On 6th and 7th October 2021,
from 5 to 7 pm



Rs. 5,000/- plus taxes
Per Participant

Why should you attend?

This workshop is an effort at apprising NBFCs as to how they can keep themselves prepared for RBI's inspection in advance, since *precaution is better than penalty*. The content of the workshop is framed after inputs from several industry participants, our consulting experience with NBFC sector and more importantly, communication with senior retired RBI officials.

Major areas of inspection:

- ✓ KYC
- ✓ Fairness of lending practices
- ✓ Income recognition and asset classification; any hints of evergreening
- ✓ Liquidity risk management
- ✓ Specific areas applicable to digital lending companies
- ✓ Reporting to CICs, CIBIL, FIU-IND, etc
- ✓ Contents and Implementation of various Policies
- ✓ Corporate governance
- ✓ Where applicable, adherence to direct assignment and securitisation guidelines

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