

क्षणशः कणशश्चैव विद्यामर्थं च चिन्तयेत् ।
क्षणत्यागे कुतो विद्या कणत्यागे कुतो धनम् ॥

WEBINAR ON

DESIGNING INTERNAL CONTROL FRAMEWORK FOR PIT REGULATIONS

Thursday | 21ST MAY 2020 | TIME – 5.30 PM

Why this webinar?

The amended provisions of PIT Regulations bestows the responsibility of maintenance of internal control at various levels in the company including BoD, Audit committee, CEO etc.

In this webinar, we aim to guide corporates in developing a structured guided approach to these controls as well as elaborate on maintenance and documentation of internal control framework.

Topics to be covered

- Identification and maintenance of database of DPs
- Meaning and maintenance of structured digital database
- Institutional Trading Mechanism
- Policy on legitimate business purpose
- Policy on how to bring people inside
- Components of Internal Control Manual
- Policy in case of leakage of UPSI
- Matters to be covered under Code of Conduct
- Matters to be covered under Code of Fair Disclosure
- Compliances to be ensured by Intermediaries and Fiduciaries
- Concept of closure of trading window
- Internal controls under PIT and reporting

Faculties



Vinod Kothari
Director- VKCPL
Managing Partner Vinod
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Vinita Nair
Senior Partner at
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Fees: INR 1000 (incl of taxes). Payment details will be shared with registered participants.

Registration: The number of participants will be restricted to 50 on first-come-first-in basis. Interested participants are requested to send interest to shaifali@vinodkothari.com.

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