

*Lecture meeting on recent amendments
in SEBI (Prohibition of Insider Trading)
Regulations, 2015*

By Mr. Vinod Kothari

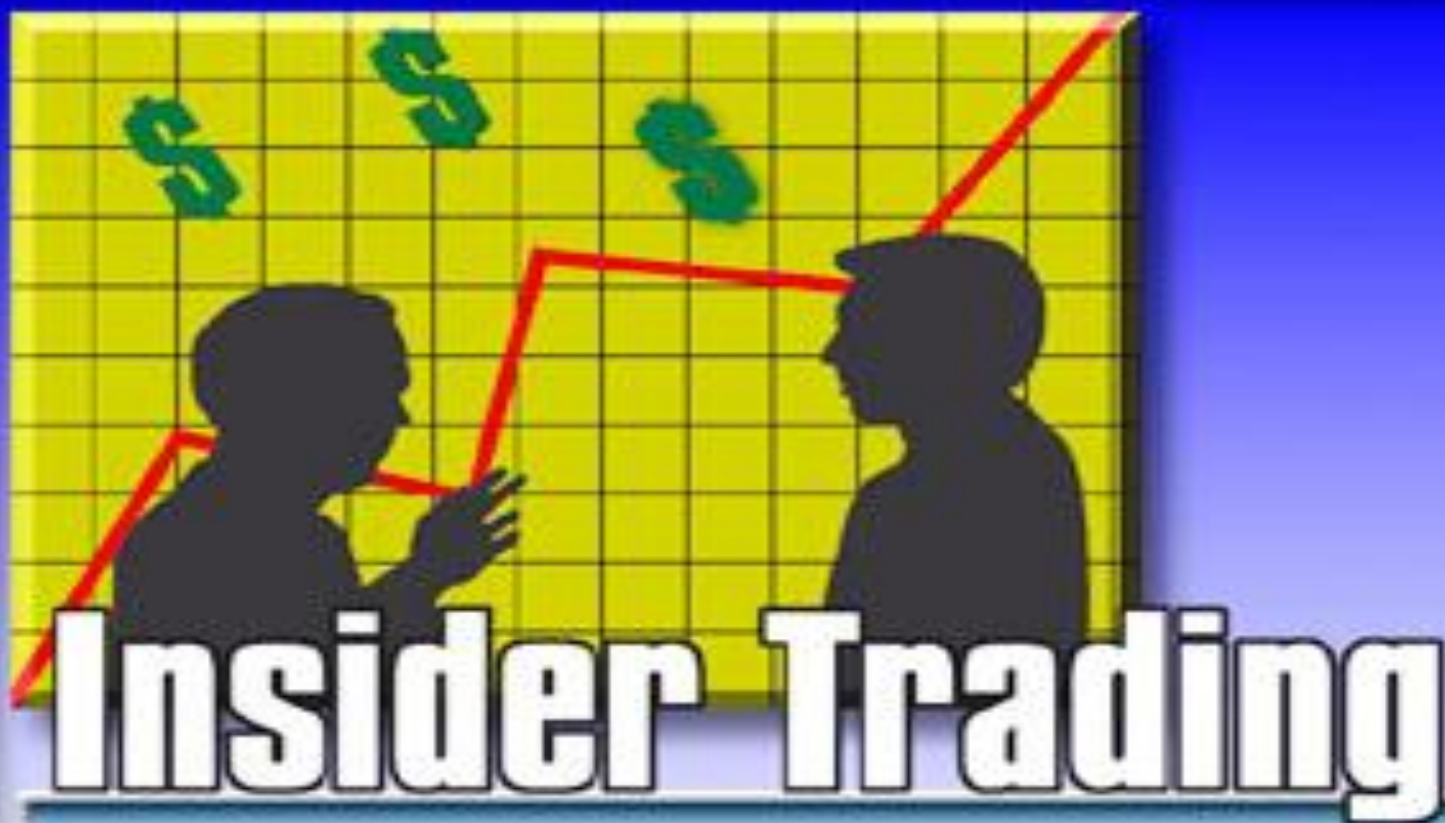


Image Courtesy: <http://www.finmarketguru.com/insider-trading-in-india-background-and-current-state-role-of-sebi/>

Date: February 12, 2019

Venue: IMC, Mumbai

Vinod Kothari Consultants P. Ltd.

About the meeting:

The recent changes in the PIT Regulations have bestowed a lot of responsibilities on the listed companies and their management as they are required to do the following:

- put in place a system of internal controls, with responsibility being cast at various levels, including the Board of Directors, audit committee, CEO, and compliance officer.
- requirement of comprehensive data keeping, when information is shared on need-to-know basis with people inside the organisation and those outside.
- amend the code for prohibition of insider trading and fair disclosure.

We recognise the fact that putting in place appropriate internal controls on insider trading is not merely a matter of documentation and requires discussion and guidance to adopt a proper approach to the same.

Accordingly, we are calling an evening meeting with Mr. Vinod Kothari on 12th February, 2019 in Churchgate, Mumbai to discuss the recent amendments and the prospective course of actions required in this regard.

We invite all the senior corporate professionals in Mumbai to come for a discussion on the topic. Further, the meeting shall be on a cost-sharing basis with per head cost of Rs. 2000 plus taxes.

Please note that as only ten seats are available, therefore, we request you to kindly confirm your interest and the likely number of participants from your organisation by emailing us to bombay@vinodkothari.com/vinita@vinodkothari.com at the earliest.

Date: February 12, 2019

Timing: 5:30 PM to 8:00 PM

Location: Mumbai

Venue: Walchand Hirachand Hall, 4th Floor, IMC Bldg., IMC Marg, Churchgate, Mumbai - 400 020

Fees: Rs. 2000 plus taxes

Faculty



MR. VINOD KOTHARI

**CEO, Vinod Kothari Consultants P. Ltd.
Director, Indian Securitisation Foundation
Partner, Vinod Kothari & Company**

About Mr. Vinod Kothari

Mr. Vinod Kothari, both a Chartered Accountant and a Chartered Secretary, is an internationally recognised author, trainer and consultant on specialised financial subjects, viz., securitisation, credit derivatives, asset-backed financing, accounting for financial instruments, Basel III, etc. Mr. Kothari has been a member of the consulting team of the Asian Development Bank to advise the Govt of India on security interest laws in India. He has his share of notable contributions to the literature of securitization and other financial and non-financial resources which are very much accepted and followed by readers.

He has extensive consulting experience in securitisation – offering comprehensive securitization related consulting services to a variety of clients including originators, investors, IT companies, etc. apart from one-off services to several companies in different countries. The locations where he has lectured on these subjects include New York, Washington, London, Milan, Frankfurt, Singapore, Hong Kong, Sydney, Colombia (South America), South Africa, Malaysia, Jordan, Dubai, Kuwait, Egypt, Sri Lanka, Bangladesh, etc.

He is renowned for offering services to a number of listed companies which not only include advisory or consultancy related services or conduct of due diligences, but also litigation related services. He, along with his team has conducted various successful summits on securitisation and various advance financial topics which has garnered appreciable response from the industry.

Mr. Kothari also is sought as faculty in various reputed colleges and institutions. He also has a vast experience in working with the various regulators like SEBI, NHB and Government of India and multilateral organisations like IFC and ADB.

Besides, Mr. Kothari has had the opportunity to serve as the Chairman of the Institute of Company Secretaries of India Eastern India Regional Council, Calcutta. In 1987, a voluntary organisation of Calcutta chose him as the Outstanding Young Person of Calcutta in the field of Finance and Taxation.

Author of Books:

- Securitisation Asset Reconstruction and Enforcement of Security Interests
- Banking Law and Practice in India, 26th Edition
- Law Relating to Insolvency & Bankruptcy Code, 2016
- Guide to Structured Finance – Incorporating Securitisation, Credit-Derivatives, Leasing and REITs, 2014 Edition
- Introduction to Securitization (co-authored with Frank J. Fabozzi)
- Securitisation: The Financial Instrument of the Future, Fifth edition 2006.
- Taxation of Lease Transactions in India, co-authored by Sikha Bansal, 2013
- Lease Financing and Hire Purchase, Fourth Edition, 1996.
- Credit Derivatives and Structured Credit Trading, 2009 Edition
- Guide to Structured Finance, 2014 Edition
- Author of 3 Chapters in Handbook of Finance, (editor Frank Fabozzi), published by Wiley, USA.
- Corporate Boards, Committees and Policies under the Companies Act
- Understanding Companies Act 2013, 2014 Edition
- Guide to Independent Directors, 2014 Edition
- Led a team of 17 specialist editors for the latest edition of Guide to the Companies Act, A. Ramaiya, 18th Edition.
- Prepared the key highlights and reference tables for “Corporate Laws” second edition and “The Companies Act, 2013” published by Lexis Nexis Guide to Housing Finance – A comprehensive guide to mortgage lending, 2013 Edition

Lecturing:

- He is a visiting faculty at Indian Institute of Management, Joka, Calcutta for several years, teaching a full-fledged course for the final year students on Structured Finance and Taxation. Over 125 students sign up of the course every year.
- He is a guest faculty at the National University of Juridical Sciences- the subject taught here are insolvency and credit interest enforcement laws in India and other countries. The course included a comparative study of the insolvency and creditors' rights in USA, UK and Australia.

Following are the registration details for the workshop

The Fees for participation shall be **INR 2000 plus applicable taxes per participant.**

- The fees can be remitted by NEFT/ Cheque deposit in our account. The bank details are as follows:

Bank Name	Kotak Mahindra Bank
Account Branch	APEEJAY HOUSE,15, PARK STREET,KOLKATA - 700016
City	KOLKATA
Account No	03222000006629
Account Name	"VINOD KOTHARI CONSULTANTS PVT. LTD."
RTGS/NEFT IFSC	KKBK0000322
MICR	700485002

For registration, please provide complete details of the participants viz. name and contact details along with the details of the GSTIN of your organization.

Should you require anything else, please free to get in touch with us.

Burhanuddin Dohadwala: +91- 7738290552

Swapnil Sharma – 022 -22614021/ 022-62370959

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1006 – 1009, Krishna 224, AJC Bose Road Kolkata – 700 017 Telephone: 91-33- 22817715/ 22813742 Email: info@vinodkothari.com	403-406, 175 Shreyas Chambers, D.N. Road, Fort, Mumbai – 400 001 Telephone: 022 22614021 Email: bombay@vinodkothari.com	A/11 Hauz Khas (Opposite Vatika Mediacare) New Delhi – 110016 Telephone: 011-41315340 Email: delhi@vinodkothari.com